PTO/SB/21 (08-00) U.S. Patent and Rademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of persons are required to a collection o Application Number 10/063,803 TRANSMITTAL 05/15/2002 **Filing Date FORM** First Named Inventor Honeyman (to be used for all correspondence after initial filing) Group Art Unit 1741 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number H-307 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers 1 Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Amendment / Reply Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Correction of withdrawal of claim Express Abandonment Request ot small entity status Request for Refund Information Disclosure Statement CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David J. Cole Individual name Signature 07/22/2002 Date CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Signature



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

Not yet known

Application of:

Honeyman et al.

Serial No.: Filed:

10/063,803

For:

May 15, 2002

ELECTROPHORETIC PARTICLES AND PROCESSES FOR THE PRODUCTION THEREOF

Cambridge, Massachusetts July 22, 2002

# CORRECTION OF WITHDRAWAL OF CLAIM TO SMALL ENTITY STATUS UNDER 37 CFR 1.28(c) AND PAYMENT OF FEE DEFICIENCY

Assistant Commissioner for Patents Washington DC 20231

Sir:

On July 19, 2002 the undersigned attorney filed by facsimile a "Withdrawal of Claims to Small Entity Status under 37 CFR 1.28(c) and Payment of Fee Deficiency" in connection with the above application. On the second page of this document was set out a Table which purported to itemize the deficiencies in the fees previously paid. Unfortunately, there was an arithmetic mistake in this Table; the total deficiency was shown as \$1287, when it should have been \$1657, and the Fee Transmittal (Form PTO/SB/17) filed with the Withdrawal only authorized the payment of a fee deficiency in the amount of \$1287.

The undersigned attorney would apologize for these errors in the earlier documents, and to correct the record sets out below a corrected version of the earlier Table. Also filed herewith is an additional Fee Transmittal (Form PTO/SB/17) authorizing charging the assignee's Deposit Account with the additional sum of \$370 which, together with the earlier authorization to charge \$1287, completes the payment of the fee deficiency for this application.



Application of Honeyman et al. Serial No. 10/063,803 Page2

#### **CORRECTED TABLE**

Type of fee	Fee code	Large entity fee payable	Small entity paid	Deficiency
Utility filing fee	201	740	370	370
Fee for claims over 20	203	2070	1035	1035
Fee for independent claims over 3	202	504	252	252
	<u> </u>	TOTAL	L DEFICIENCY	\$1657

As a result of the foregoing itemization of the fee deficiency, it is believed that payment of the processing fee set forth in 37 CFR 1.17(i) is not required. If, however, this processing fee is determined to be payable, the Office is hereby authorized to charge this processing fee to Deposit Account No. 501162.

Respectfully submitted

David J. Cole Registration No. 29629

E INK Corporation 733 Concord Avenue Cambridge MA 02138

Telephone (617) 499-6069 Facsimile (617) 499-6200 E-mail dcole@eink.com



## Certificate of Transmission under 37 CFR 1.8

I hereby certify that these papers (Certificate of Transmission under 37 CFR 1.8, Transmittal Form (PTO/SB/21), Fee Transmittal Form (PTO/SB/17), and Correction Withdrawal of Claim to Small Entity Status) are being facsimile transmitted to the United States Patent and Trademark Office on facsimile number 703-746-9195 on July 22, 2002 (Total 5 pages, including this Certificate).

Signature
David J. Cole

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Group Art Unit:** 

Not yet known

Application of:

Honeyman et al.

Serial No.: Filed: 10/063,803 May 15, 2002

For:

ELECTROPHORETIC PARTICLES AND

PROCESSES FOR THE PRODUCTION THEREOF

Cambridge, Massachusetts July 19, 2002

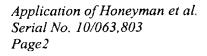
## WITHDRAWAL OF CLAIM TO SMALL ENTITY STATUS UNDER 37 CFR 1.28(c) AND PAYMENT OF FEE DEFICIENCY

Assistant Commissioner for Patents Washington DC 20231

Sir:

The above application was filed on May 15, 2002 and the filing fees were paid in the amount appropriate for a small entity, thereby effecting a claim to small entity status under 37 CFR 1.27(c)(3). This claim to small entity status was made by the undersigned attorney in good faith, since the undersigned was aware that the beneficial owner of the application, E Ink Corporation, did, and still does, qualify as a small entity as a small business. Furthermore, at the time this application was filed, the undersigned was not aware of any interest in this application owned by any entity which did not qualify as a small entity.

However, since this application was filed, the undersigned has become aware that, prior to the filing of this application, E Ink Corporation had entered into a license agreement with a corporation which, at all relevant times, did not qualify as a small entity. Accordingly, the undersigned attorney hereby acknowledges that the aforementioned claim to small entity status was made in error and withdraws the claim to small entity status pursuant to 37 CFR 1.28(c). As a result of this withdrawal of the claim to small entity status, there is a deficiency in the fees paid, as follows:



Type of fee	The state of the s				
	Fee code	Large entity fee payable	Small entity paid	Deficiency	
Utility filing fee	201	740	370	370	
Fee for claims over 20	203	2070	1035	1035	
Fee for independent claims over 3	202	504	252	252	
		TOTAL DEFICIENCY		\$1287	

A Fee Transmittal Form (Form PTO/SB/17) authorizing charging of this fee deficiency to E Ink's Deposit Account No. 501162 is enclosed.

As a result of the foregoing itemization of the fee deficiency, it is believed that payment of the processing fee set forth in 37 CFR 1.17(i) is not required. If, however, this processing fee is determined to be payable, the Office is hereby authorized to charge this processing fee to Deposit Account No. 501162.

Respectfully submitted

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